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FILE # 02157/0202642-USODATE: 5/9/07NUMBER TRANSMITTING TO: 571-273-0459RECIPIENT: PCT Legal DeptCOMPANY: Patent & Trademarks OfficeFROM: F. Lynn BarrisonNO. OF PAGES TRANSMITTING  
(INCLUDING COVER SHEET)\*: 9CONFIRMATION OF THIS TRANSMISSION WILL FOLLOW BY  
FIRST CLASS MAIL (Y/N): NO

COMMENTS:

Second Request for corrected Filing Receipt

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6/3/05 Sullivan Williams  
Date Name  
Sullivan Williams  
Signature

Customer No.: 07278

Docket No.: 02157/0202642-US0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Kenji Yoneyama et. al.

Serial No.: 10/528,243

Filed: March 17, 2005

For: METHOD OF MANUFACTURING DISC-SHAPED RECORDING MEDIUM  
-----**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

- ☒ incorrectly entered and/or  
☐ omitted.

Error in	Correct data
<input type="checkbox"/> Applicant's name	
<input checked="" type="checkbox"/> Applicant's address	Kenji Yoneyama, Chuo-ku, Tokyo, Japan Kazushi Tanaka, Chuo-ku, Tokyo, Japan Naoki Hayashida, Chuo-ku, Tokyo, Japan Mamoru Usami, Chuo-ku, Tokyo, Japan
<input checked="" type="checkbox"/> Title	<b>METHOD OF MANUFACTURING DISC-SHAPED RECORDING MEDIUM</b>
<input type="checkbox"/> Filing Date	
<input type="checkbox"/> Application Number	
<input type="checkbox"/> Foreign/PCT Application Re:	
<input type="checkbox"/> Other	

## Remarks:

Enclosed please find a copy of the Executed Declaration.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,

Dated: June 2, 2005



Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

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02157/0202642-02



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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 Alexandria, Virginia 22313-1450  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/528,243	03/17/2005	2655	900	02157/0202642-US0	2	8	1

07278  
 DARBY & DARBY P.C.  
 P. O. BOX 5257  
 NEW YORK, NY 10150-5257

CONFIRMATION NO. 4139

## FILING RECEIPT



\*OC000000016063433\*

Date Mailed: 05/27/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kenji Yoneyama, Chuo-ku, Tokyo, JAPAN;  
 Kazushi Tanaka, Chuo-ku, Tokyo, JAPAN;  
 Naoki Hayashida, Tokyo, JAPAN;  
 Mamoru Usami, Tokyo, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 07278.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/11816 09/17/2003

## Foreign Applications

JAPAN 2002-274123 09/19/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

## Title

Production method for disk-like recording medium

SEARCHED Law  
 SERIALIZED Law  
 FILED Law  
 MAY 27 2005  
 Alexandria, VA  
 Patent & Trademark Office

Preliminary Class

369

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PTO/SB/106 (05-00)

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私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の姓名の後に記載された通りである。

My residence, post office address, and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD OF MANUFACTURING DISC-SHAPED  
RECORDING MEDIUM**

上記発明の明細書はここに添付されているが、下記の箱がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐

の日に提出され、

この出願の米国出願番号またはPCT国際出願番号は、

☐was filed on **September 17, 2003**

as United States Application Number or

PCT International Application Number

**PCT/JP2003/011816**

and was amended on

(if applicable).

であり、且つ

の日に修正された出願（該当する場合）

私は、上記の修正書によって修正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1.56に定義されている、特許性について重要な問題を明示する義務があることを認める。

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I hereby claim foreign priority under Title 35, United States Code Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365 (a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent, or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

## Prior Foreign Application(s)

## Priority Not Claimed

外国での先行出願

優先権を主張なし

JP2002-274123  
(Number)  
(番号)

JP  
(Country)  
(国名)

19/09/2002  
(Day/Month/Year Filed)  
(出願日/月/年)

☐

(Number)  
(番号)

(Country)  
(国名)

(Day/Month/Year Filed)  
(出願日/月/年)

☐

私は、ここに、下記のいかなる米国特許出願についても、その米国法典第35編第119条(a)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status Patented, Pending, Abandoned)  
(状況: 特許許可、係属中、放棄)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status Patented, Pending, Abandoned)  
(状況: 特許許可、係属中、放棄)

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Japanese Language Declaration  
(日本国発明者)

委任状: 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び/または弁理士を任命する。(氏名及び登録番号を記載すること)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

Practitioners associated with Customer Number 07278:

## 書類送付先

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Peter C. Schechter, (212) 527-7723

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Peter C. Schechter (212) 527-7723

第一または第一発明者氏名	Full name of sole or first inventor
発明者の署名	Kenji Yoneyama
日付	Inventor's signature Date
住所	Kenji Yoneyama Feb 22, 2005
国籍	Residence
郵便の宛先	Chuo-ku, Tokyo, Japan
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	Japan
	Post Office Address
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	1-13-1, Nihonbashi, Chuo-ku,
	Tokyo, 103-8272, Japan

第二共同発明者がある場合、その氏名	Full name of second joint inventor, if any
第二共同発明者の署名	Kazushi Tanaka
日付	Second inventor's signature Date
住所	Kazushi Tanaka Feb 22, 2005
国籍	Residence
郵便の宛先	Chuo-ku, Tokyo, Japan
	Citizenship
	Japan
	Post Office Address
	(c/o) TDK Corporation
	1-13-1, Nihonbashi, Chuo-ku,
	Tokyo, 103-8272, Japan

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)



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発明者の署名	日付	Full name of third joint inventor, if any Naoki Hayashida
		Inventor's signature Date
住所		Naoki Hayashida Feb. 23, 2005
国籍		Residence Chuo-ku, Tokyo, Japan
郵便の宛先		Citizenship Japan
		Post Office Address (c/o) TDK Corporation 1-13-1, Nihonbashi, Chuo-ku, Tokyo, 103-8272, Japan

発明者の署名	日付	Full name of fourth joint inventor, if any Mamoru Usami
		Inventor's signature Date
住所		Mamoru Usami Mar. 03, 2005
国籍		Residence Chuo-ku, Tokyo, Japan
郵便の宛先		Citizenship Japan
		Post Office Address (c/o) TDK Corporation 1-13-1, Nihonbashi, Chuo-ku, Tokyo, 103-8272, Japan

発明者の署名	日付	Full name of fifth joint inventor, if any
		Inventor's signature Date
住所		Residence
国籍		Citizenship
郵便の宛先		Post Office Address

発明者の署名	日付	Full name of sixth joint inventor, if any
		Inventor's signature Date
住所		Residence
国籍		Citizenship
郵便の宛先		Post Office Address